

**ADMINISTRATIVE SET-ASIDE FFY15**

	<b>DOH</b>	<b>EFC</b>	<b>Available</b>
	<b>Administrative</b>	<b>Administrative</b>	<b>Administrative Set-Aside</b>
<b>Available Funds</b>			
Funds from FFY2012	\$1,475,000.00	\$0.00	\$1,475,000.00
Funds from FFY2013	\$550,000.00	\$0.00	\$550,000.00
FFY 2015 Cap Grant (\$42,176,000)	\$0.00	\$1,687,040.00	\$1,687,040.00
<b>Total Available</b>	<b>\$2,025,000.00</b>	<b>\$1,687,040.00</b>	<b>\$3,712,040.00</b>
<b>Category of Expenses for FFY 2015</b>			
1. Personal Services	\$580,503.00	\$1,448,000.00	\$2,028,503.00
2. Fringe=DOH 53.58%, EFC 53.99%	\$311,034.00	\$782,000.00	\$311,034.00
3. Travel	\$0.00	\$19,000.00	\$0.00
4. Equipment	\$0.00	\$30,000.00	\$0.00
5. Supplies	\$40,000.00	\$77,000.00	\$40,000.00
6. Contractual	\$0.00	\$27,300.00	\$0.00
7. Construction	\$0.00	\$0.00	\$0.00
8. Other	\$0.00	\$0.00	\$0.00
<b>Total Direct Charges</b>	<b>\$931,537.00</b>	<b>\$2,383,300.00</b>	<b>\$2,379,537.00</b>
Indirect Charges DOH 0%,EFC 19.61%	\$0.00	\$437,000.00	\$250,200.00
<b>Total</b>	<b>\$931,537.00</b>	<b>\$2,820,300.00</b>	<b>\$2,629,737.00</b>
<b>Balance of Available Funds</b>	<b>\$1,093,463.00</b>	<b>-\$1,133,260.00</b>	<b>\$1,093,463.00</b>
<b>Reconciliation of Funds</b>			
Funds from FFY 2012	\$1,475,000.00	\$0.00	\$1,475,000.00
Less: Funds Expended	\$931,537.00	\$0.00	\$931,537.00
<b>Balance of Remaining FFY2012</b>	<b>\$543,463.00</b>	<b>\$0.00</b>	<b>\$543,463.00</b>
FFY 2013 Set-Aside Available	\$550,000.00	\$0.00	\$550,000.00
FFY 2013 Set-Aside Needed	\$0.00	\$0.00	\$0.00
<b>FFY 2013 Set-Aside Remaining</b>	<b>\$550,000.00</b>	<b>\$0.00</b>	<b>\$550,000.00</b>
FFY 2015 Set-Aside Available	\$0.00	\$1,687,040.00	\$1,687,040.00
FFY 2015 Set-Aside Needed	\$0.00	\$2,820,300.00	\$1,687,040.00
<b>FFY 2015 Set-Aside Remaining</b>	<b>\$0.00</b>	<b>-\$1,133,260.00</b>	<b>\$0.00</b>

The difference between the available set-aside money and the cost to run the program will be made up by using other resources that may include fees that have been collected by the program.

### TECHNICAL ASSISTANCE SET-ASIDE FFY15

Available Funds	DOH Technical Assistance	Total Technical Assistance
<b>Funds from FFY 2014</b>	\$0.00	\$0.00
<b>FFY 2015 Grant (42,176,000)</b>	\$843,520.00	\$843,520.00
<b>Total Available</b>	\$843,520.00	\$843,520.00
<b>Category of Expenses for FFY 2013</b>		
<b>1. Personal Services</b>	\$524,359.00	\$524,359.00
<b>2. Fringe Benefits (53.58%)</b>	\$280,952.00	\$280,952.00
<b>3. Travel</b>	\$38,209.00	\$38,209.00
<b>4. Equipment</b>	\$0.00	\$0.00
<b>5. Supplies</b>	\$0.00	\$0.00
<b>6. Contractual</b>	\$0.00	\$0.00
<b>7. Construction</b>	\$0.00	\$0.00
<b>8. Other</b>	\$0.00	\$0.00
<b>Total Direct Charges</b>	\$843,520.00	\$843,520.00
<b>Indirect Charges (18%)</b>	\$0.00	\$0.00
<b>Total</b>	\$843,520.00	\$843,520.00
<b>Balance of Available Funds</b>	\$0.00	\$0.00
<b>FFY 2015 Set-Aside Available</b>	\$843,520.00	\$843,520.00
<b>FFY 2015 Set-Aside Needed</b>	\$843,520.00	\$843,520.00
<b>FFY 2015 Set-Aside Remaining</b>	\$0.00	\$0.00

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**Program Management Set-Aside FFY15**

Available Funds	Administer PWSS Program	Total State Program Management Set-Aside
Funds from FFY 2013	\$5,000,000.00	\$5,000,000.00
Funds from FFY 2014	\$4,245,500.00	\$4,245,500.00
FFY 2015 Grant (42,176,000)	\$4,217,600.00	\$4,217,600.00
Total Available	\$13,463,100.00	\$13,463,100.00
Category of Expenses for FFY 2015		
1. Personal Services	\$2,709,331.00	\$2,709,331.00
2. Fringe Benefits (53.58%)	\$1,451,660.00	\$1,451,660.00
3. Travel	\$76,000.00	\$76,000.00
4. Equipment	\$0.00	\$0.00
5. Supplies	\$80,000.00	\$80,000.00
6. Contractual	\$454,000.00	\$454,000.00
7. Construction	\$0.00	\$0.00
8. Other	\$0.00	\$0.00
Total Direct Charges	\$4,770,991.00	\$4,770,991.00
Indirect Charges (0%)	\$0.00	\$0.00
Total	\$4,770,991.00	\$4,770,991.00
Balance of Available Funds	\$8,692,109.00	\$8,692,109.00
Reconciliation of Funds		
Funds from FFY 2013	\$5,000,000.00	\$5,000,000.00
Less: Funds Expended	\$4,770,991.00	\$4,770,991.00
Balance of Remaining FFY 2013	\$229,009.00	\$229,009.00
Funds from FFY 2014	\$4,245,500.00	\$4,245,500.00
Less: Funds Expended	\$0.00	\$0.00
Balance of Remaining FFY 2014	\$4,245,500.00	\$4,245,500.00
FFY 2015 Set-Aside Available	\$4,217,600.00	\$4,217,600.00
FFY 2015 Set-Aside Needed	\$0.00	\$0.00
FFY 2015 Set-Aside Remaining	\$4,217,600.00	\$4,217,600.00

**DWSRF FFY15 DOH Administrative  
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service

**\$580,503**

a. Personal Service - Existing Positions

<b>Title/Grade</b>	<b>Annual Salary</b>
Info Tech Spec 2, G-18	\$59,260
Info Tech Spec 2, G-18	\$61,165
Info Tech Spec 2, G-18	\$68,716
Info Tech Spec 3, G-23	\$81,152
Info Tech Spec 4, G-25	\$97,340
Secretary 1, G-11	\$48,085
Secretary 1, G-11	\$49,385
Secretary 1, G-11	\$52,625
Health Program Administrator, G-18	\$57,775
<b>TOTAL</b>	<b>\$575,503</b>

b. Non-Employee Services

N/A

c. Overtime

\$5,000

**Other Than Personal Services**

**\$351,034**

a. Supplies and Materials

\$ 40,000

General office supplies including photocopier/printer supplies, paper for all printers and copiers, materials for daily business shipping and mailing.

b. Travel	\$ 0
c. Contractual Services	\$ 0
d. Equipment	\$ 0
e. Fringe Benefits @53.58%	\$311,034

Health Insurance  
 Pensions  
 Social Security  
 Workers' Compensation  
 Employee Benefits Funds  
 Dental Insurance  
 Unemployment Benefits  
 Vision Benefits  
 Survivors' Benefits

f. Indirect Costs -waiver \$0

The Center for Environmental Health is requesting an indirect waiver for this grant.

**WORK PLAN - GRAND TOTAL:**

**\$931,537**

- The full amount of this set-aside will be paid using FFY2012 monies

NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION  
DRINKING WATER STATE REVOLVING FUND PROGRAM  
FFY 2015 BUDGET

<u>Available Funds</u>	<u>Amount</u>
Grant - Admin. Set-Aside	1,687,040
 <u>Projected Expenses</u>	
Personnel	1,448,000
Fringe Benefits	782,000
Travel	19,000
Supplies and Materials	77,000
Equipment	30,000
Contractual	27,300
Total Direct Charges	<u>2,383,300</u>
Indirect Charges (19.61%)	437,000
Total	<u>2,820,300</u>

Notes

<sup>1</sup> Indirect Charges are calculated by adding Personnel costs plus Fringe benefits and then multiplied by 19.61%.

<sup>2</sup> The total expenses are listed for the administration of the DWSRF program. The

amounts in excess of the grant allowance will be paid from unspent prior grant funds available and or fees.

## **DWSRF FFY'15 BREAKDOWN OF PROJECTED EXPENSES**

<b>Personal Service Costs</b>		<b>1,448,000</b>
<b>Fringe benefits</b>		
Consists of Health insurance, Social Security, Pensions, Worker's Compensation, Dental Insurance, Unemployment Insurance, Vision Benefits, Medicare, Long Term Disability		<b>782,000</b>
<b>Travel</b>		
The Corporation's responsibilities with the Program will have staff travel to IUP hearings, application workshops and bond closings in New York City for DWSRF bond issues.		<b>19,000</b>
<b>Supplies &amp; Materials</b>		
Toner cartridges, color printer supplies, Misc. computer supplies; software purchase: security software, document managing package, software updates	19,700	
General office supplies: pens, pencils, copier paper folders, calendars, calculators, etc.	16,300	
Books and Journals	6,000	
Office peripherals: such as fax machines, file cabinets, desks, chairs, etc.	35,000	<b>77,000</b>
<b>Equipment</b>	30,000	<b>30,000</b>
Computers Equipment such as servers, CPU's, monitors, back up systems, security appliance for computer, printer, copiers, etc.		
<b>Contractual Services</b>		
Printing of the DWSRF IUP, maintenance for the Corporation's toll free telephone line, training, insurance, temporary services if needed, postage:		

# **DWSRF FFY'15 BREAKDOWN OF PROJECTED EXPENSES**

Training (On going contract):

The nature of training would consist of  
Computer Training, CIFA and Tuition  
Assistance Program

6,000

Printing

2,400

Insurance: DW share of Auto insurance, computer,  
Liability and Property insurance

5,000

Postage

4,400

Telephone

9,500

**27,300**

**Indirect costs**

**437,000**

**Grand Total**

**2,820,300**



New York State Environmental Facilities Corporation  
Schedule of Personal Service Expense for FY 2015-16  
DWSRF Admin PS  
7/29/2015

Item #	Division	FTE	Current Title	Grade	Salary Expense FFY 2015
301	Corporate Operations	1.0	Accounting Assistant	MC-14	48,435
305	Executive	1.0	SRF Loan Process Manager	M-1	86,273
306	Corporate Operations	1.0	Principal Application Developer	M-1	86,273
309	Finance	1.0	Administrative Assistant I	MC-09	39,552
310	Program Management	1.0	MWBE Representative	MC-18	48,911
312	Finance	1.0	Finance Specialist	MC-14	51,644
313	Finance	1.0	Financial Analyst	MC-18	60,694
314	Finance	1.0	Assistant Financial Services Manager	MC-23	63,233
315	Program Management	1.0	MWBE Representative	MC-16	48,911
316	Finance	1.0	Financial Analyst	MC-18	56,767
317	Finance	1.0	Assistant Financial Development Manager	MC-23	79,003
318	Finance	1.0	Financial Development Manager	M-3	103,678
319	Corporate Operations	1.0	Senior Accountant	MC-20	54,161
321	Finance	1.0	Administrative Assistant II	MC-11	34,982
322	Finance	1.0	Debt Service Specialist	MC-14	51,644
351	Program Management	1.0	Administrative Assistant I	MC-09	31,296
352	Finance	1.0	Financial Services Manager	M-2	95,679
353	Finance	1.0	Debt Service Analyst	MC-18	30,347
354	Finance	1.0	Financial Information Systems Manager	M-1	86,273
355	Program Management	1.0	Funding Coordinator	M-1	86,273
357	Finance	1.0	Financial Information Systems Senior Analyst	MC-23	63,233
7	Legal	1.0	Deputy Counsel	M-4	117,260
		22.0			1,424,518
	Estimated Merit Awards				8,000
	Longevity Payments				3,250
	Performance Advances				12,018
					1,447,786
	TOTAL PERSONAL SERVICE REQUIRED				<u>1,448,000</u>

**DWSRF FFY15 Technical Assistance  
Budget Backup**

Summary

Proposed Use of Funds:

Total Personal Service

**\$524,359**

a. Personal Service - Existing Positions

<b>Title/Grade</b>	<b>Annual Salary</b>
Public Health Engineer 2, G-24	\$87,768
Public Health Engineer 2, G-24	\$95,457
Laboratory Specialist, G-18	\$62,708
Public Health Engineer 2, G-24	\$87,768
Public Health Engineer 2, G-24	\$95,201
Public Health Engineer 2, G-24	\$95,457
<b>Totals:</b>	<b>\$524,359</b>

b. Non-Employee Services

N/A

c. Overtime

\$0

**Other Than Personal Services**

**\$324,741**

a. Supplies and Materials

\$ 0

General office supplies, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples

Technical equipment/meters used to test drinking water.

b. Travel

\$ 38,209

The Department's responsibilities and activities associated with the Technical Assistance Program including Small Systems and CPE Program will require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations, and public hearings as required. Program staff expected to be travelling are in the Public Health Engineer 2 title.

- |    |                          |           |
|----|--------------------------|-----------|
| c. | Contractual Services     | \$0       |
| d. | Fringe Benefits @ 53.58% | \$280,952 |

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefits Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

- |    |                         |     |
|----|-------------------------|-----|
| e. | Indirect Costs – waiver | \$0 |
|----|-------------------------|-----|

The Center for Environmental Health is requesting an indirect waiver for this grant.

**EXPENDITURE PLAN - GRAND TOTAL:**

**\$843,520**

**DWSRF FFY15 Program Management  
Administer PWSS Program  
Budget Back up**

Summary

Proposed Use of Funds:

**Total Personal Service**

**\$ 2,709,331**

a. Personal Service - Existing Positions

<b>Title/Grade</b>	<b>Annual Salary</b>
Public Health Engineer 2, G-24	\$82,087
Public Health Engineer 2, G-24	\$97,366
Public Health Engineer 2, G-24	\$97,366
Senior Sanitarian, G-18	\$71,922
Senior Sanitarian, G-18	\$71,922
Principal Sanitarian, G-23	\$83,812
Senior Sanitarian, G-18	\$66,822
Public Hlth Spec. 3, G-23	\$87,520
Principal Sanitarian, G-23	\$87,683
Public Health Engineer 2, G-24	\$97,366
Research Scientist 4, G-27	\$106,130
Public Health Engineer 3, G-27	\$106,130
Public Health Engineer 2, G-24	\$97,366
Public Health Engineer 1, G-20	\$79,516
Senior Sanitarian, G-18	\$66,273
Public Health Engineer 2, G-24	\$97,366
Secretary 1, G-11	\$48,872
Public Health Engineer 2, G-24	\$97,366
Public Hlth Spec. 3, G-23	\$92,620
Research Scientist 2, G-22	\$84,924
Secretary 1, G-11	\$49,382
Public Health Engineer 1, G-20	\$64,785
Public Health Engineer 2, G-24	\$97,366

Public Health Engineer 2, G-24	\$97,366
Research Scientist 4, G-27	\$111,230
Public Hlth Spec. 3, G-23	\$88,463
Research Scientist 2, G-22	\$83,302
Laboratory Specialist, G-18	\$60,446
Research Scientist 4, G-27	\$106,517
Secretary 1, G-11	\$47,095
Public Health Engineer 1, G-20	\$64,785
Public Health Engineer 1, G-20	\$60,268
Jr. Engineer, G-15	\$47,897
Program Management TOTAL	<b>\$2,699,331</b>

b. Non-Employee Services N/A

c. Overtime \$10,000

**Other Than Personal Services**

**\$2,061,660**

a. Supplies and Materials \$80,000

General office supplies, software maintenance and licenses, card printer, sampling materials including sample bottles, coolers, chemicals and packing materials for shipping of samples.

b. Equipment \$ 0

c. Travel \$76,000

The Department's responsibilities and activities associated with the Source Protection and Capacity Development programs will require extensive travel by staff. Staff will travel to perform inspections, evaluations, attend negotiations and meetings, and to attend public hearings as required. Program staff expected to be travelling are: Public Health Specialists, Sr. Sanitarians, and Public Health Engineers.

d. Contractual Services \$454,000

1) The Department plans to enter into a contract with a chosen vendor to provide, a four-day sanitary training course using USEPA approved course curriculum and training will be provided. The course will combine basic water treatment

knowledge with application of SDWA regulations to identify sanitary deficiencies. \$ 75,000

2) A contract is in place to implement a program of technical assistance for nontransient, noncommunity public water systems and small community water systems, such as mobile home parks. \$240,000

3) An MOU agreement is in effect with SUNY Albany to support the Bureau's work toward identifying and maintaining water service boundaries (information that is essential to understanding the impacts of new rule implementation), improving the quality of data within SDWIS, and maintaining and updating critical infrastructure data. Graduate interns will complete this work. \$ 64,000

4) The Bureau has purchased water analysis services from Certified Laboratories to provide testing of both raw and drinking water for public water systems that are supervised by the Department's District Office staff. These District Offices are located in rural areas throughout NYS and require a local laboratory to perform water testing in order to meet the required temperature and time requirements for water analysis. The contract is ongoing. \$ 75,000

e. Fringe Benefits @53.58% \$1,451,660

Health Insurance  
Pensions  
Social Security  
Workers' Compensation  
Employee Benefit Funds  
Dental Insurance  
Unemployment Benefits  
Vision Benefits  
Survivors' Benefits

f. Indirect Cost – waiver \$ 0  
The Center for Environmental Health will request an indirect waiver for this grant.

**EXPENDITURE PLAN - GRAND TOTAL:** **\$ 4,770,991**

New York State is required to provide a dollar for dollar match for federal Capitalization Grant funds used for this set-aside. In lieu of providing funds for this match provision, the State plans to credit current funds from the Public Water System Supervision (PWSS) Program. New York State will meet the required match by using a credit (one-half of the funds) for certain expenditures from the PWSS Program in 1993, State over match to the PWSS Program for FFY 2015 and State funds used to finance PWSS activities in delegated county health departments.

### NECESSARY STATE MATCH

<u>FFY</u>	<u>Federal Grant</u>	<u>State Match</u>
1997	\$59,167,700	\$11,833,540
1998	\$45,061,600	\$9,012,320
1999	\$47,228,900	\$9,445,780
2000	\$49,084,500	\$9,816,900
2001	\$49,287,400	\$9,857,480
2002	\$62,430,700	\$12,486,140
2003	\$62,055,500	\$12,411,100
2004	\$64,373,600	\$12,874,720
2005	\$64,237,300	\$12,847,460
2006	\$36,636,100	\$7,327,220
2007	\$36,638,000	\$7,327,600
2008	\$36,265,000	\$7,253,000
2009	\$36,265,000	\$7,253,000
2010	\$89,427,000	\$17,885,400
2011	\$62,055,000	\$12,411,000
2012	\$60,923,000	\$12,184,600
2013	\$55,485,000	\$11,097,000
2013 DRAA	\$56,572,914	\$11,314,583
2014	\$42,455,000	\$8,491,000
2015	\$42,176,000	\$8,435,200
Totals:	\$1,057,825,214	\$211,565,043

### DWSRF STATE MATCH

<u>Date</u>	<u>Amount</u>
February 3, 1998	\$44,700,000
July 9, 1998	\$5,200,000
July 9, 1998	\$50,000,000
September 17, 1999	\$100,000
September 26, 2000	\$35,000,000
August 30, 2001	\$50,000,000
September 30, 2002	\$50,000,000
September 9, 2003	\$30,000,000
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	\$265,000,000